Banking Supervision Data Division,
Directorate General Statistics

Reducing the reporting burden

Supervisory Reporting Conference
Frankfurt, 6 November 2018
requests for data come from multiple stakeholders

SSM SIs face requests for data from multiple stakeholders.
Keep track of all data requests and provide transparency

- In order to improve the coordination of all data requests made to ECB supervised entities and render requests relating to micro-prudential data more transparent, the Supervisory Board approved the creation of a database for recurring data requests coming from the ECB banking Supervision, the NCAs and external bodies.

- The database should function as a central inventory of SSM wide data collections and should contain descriptive information on the different data requests and the empty template of the report.
- No actual data submitted by banks is shared via the database.

- The creation of a central inventory of data requests aims at:
  - increasing transparency by the provision to the SB and SIs of a report on data requests on a yearly basis;
  - streamlining the existing data requests with benefits in terms of reporting burden for supervised institutions;
  - harmonising supervisory practices.

DG-S/SUP was mandated to create, maintain and operate the SSM data requests database.
Workflow

SSM

- ECB
- JST
- Horizontal divisions
- NCAs
- JST
- Horizontal divisions

External Authorities

- FSB: Financial Stability Board
- BIS: Bank for International Settlements
- EBA: European Banking Authority
- Single Resolution Board

Declare existing and new data requests to update the inventory (no information on underlying data)

Database

Access management: for all SSM staff (ECB Banking Supervision and NCAs), without restriction (no data is shared, only inventory of data requests)

Maintenance by DG-S/SUP

Output

- Information to Senior Management (both ECB and NCA)
- Annual dashboard sent to Supervisory Board for discussion
- Annual dashboard communicated to SIs for the coming year (as part of simplified SEP)

Reducing the reporting burden
## All micro-prudential data requests

### Bank-specific data requests to SIs

- **Included**
  - **Recurring** data requests initiated and currently requested by the JST on an ongoing basis (JST);
  - **Recurring** data requests initiated and received by NCA on an ongoing basis and now used by JST (JST Legacy);

- **Excluded**
  - One-off or ad-hoc requests (incl. deep dives);
  - Internal bank reports (MIS reports);
  - Requests initiated by horizontal functions (see right column).

### Horizontal data requests to SIs

- **Included**
  - **Recurring** data requests initiated and currently requested by ECB Horizontal on an ongoing basis for all SIs (**ECB Full Scope**, e.g. SREP STE) or a limited scope of SIs (**ECB Targeted**, e.g. SREP Stress Test, NPE quarterly data collection, Horizontal shipping data collection etc.);
  - **Recurring** data requests initiated and received by NCAs on an ongoing basis for all SIs within their jurisdiction (**NCA Full Scope**) or a limited scope of SIs (**NCA Targeted**);
  - All data collections requested by **external bodies** (e.g. EBA, SRB, ESRB, BCBS), e.g. EBA EU-wide stress test, EBA Transparency Exercise etc.

- **Excluded**
  - One-off or ad-hoc requests (e.g. Thematic Reviews, Pilot Exercises, IRRBB Stress test etc.);
  - Macro-prudential data requests are excluded but for information only;
  - Statistical and monetary policy data (including Anacredit);
  - Central bank related data;
  - AML, resolution and consumer protection data (e.g. Liability Data reporting from SRB).
An increased transparency and a reduced burden

On the short term, an increased transparency on data requests and their origin

- Supervised entities will be provided on a yearly basis a report on all data requests on the past year with detailed information on the requester, the number of submissions and the history of the request
- **First report** for 2018 expected to be sent 2019 Q2.

On the long run, a reduced reporting burden

- Eventually, the database will allow SSM users to
  - **Streamline data requests** by an easier identification of potential duplicates;
  - **Harmonise** supervisory practices (e.g. processes and templates for data requests).