



EUROPEAN CENTRAL BANK  
BANKING SUPERVISION

## List of supervised entities

Cut-off date for significance decisions: 15 November 2016

Number of significant supervised entities: 127

The ECB has conducted its annual significance assessment in accordance with Article 6(4) of Council Regulation (EU) No 1024/2013 (SSM Regulation)<sup>1</sup> in conjunction with Article 43 of Regulation (EU) No 468/2014 of the European Central Bank (ECB/2014/17)<sup>2</sup> (the SSM Framework Regulation). As a result of this assessment, the new list contains the names of each supervised entity<sup>3</sup> and supervised group<sup>4</sup> which is directly supervised by the ECB (“significant supervised entity” and “significant supervised group”, as defined in Article 2, points (16) and (22) of the SSM Framework Regulation<sup>5</sup>). The list also indicates the country of establishment of the entities and the specific grounds for significance.<sup>6</sup>

In addition, the list contains entities supervised by a national competent authority (NCA). The list of these entities is compiled relying on the input and information communicated by NCAs. In accordance with Article 49(2) of the SSM Framework Regulation, the list gives the names of the

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<sup>1</sup> Council Regulation (EU) No 1024/2013 of 15 October 2013 conferring specific tasks on the European Central Bank concerning policies relating to the prudential supervision of credit institutions (OJ L 287, 29.10.2013, p. 63).

<sup>2</sup> Regulation (EU) No 468/2014 of 16 April 2014 of the European Central Bank establishing the framework for cooperation within the Single Supervisory Mechanism between the European Central Bank and national competent authorities and with national designated authorities (SSM Framework Regulation) (ECB/2014/17) (OJ L 141, 14.5.2014, p. 1).

<sup>3</sup> “Supervised entity” means any of the following: (a) a credit institution established in a participating Member State; (b) a financial holding company established in a participating Member State; (c) a mixed financial holding company established in a participating Member State, provided that it fulfils the conditions laid down in Article 2, point (21)(b) of the SSM Framework Regulation; (d) a branch established in a participating Member State by a credit institution which is established in a non-participating Member State.

<sup>4</sup> As defined in Article 2, point (21) of the SSM Framework Regulation.

<sup>5</sup> “Significant supervised entity” means both (a) a significant supervised entity in a euro area Member State; and (b) a significant supervised entity in a participating non-euro area Member State. “Significant supervised group” means a supervised group which has the status of significant supervised group pursuant to an ECB decision based on Article 6(4) or Article 6(5)(b) of Council Regulation (EU) No 1024/2013 of 15 October 2013 conferring specific tasks on the European Central Bank concerning policies relating to the prudential supervision of credit institutions (OJ L 287, 29.10.2013, p. 63) (the SSM Regulation).

<sup>6</sup> In the case of “significant supervised groups”, this list does not serve to record an entire group structure.



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supervised entities as referred to in Article 2, point (20)<sup>7</sup> in conjunction with Article 2, point (7)<sup>8</sup> of the SSM Framework Regulation, that are referred to as “less significant institutions” in accordance with Article 6(4) of the SSM Regulation, as well as the name of the relevant NCA.

Entries marked with (§) refer to supervised entities which were newly identified as significant in the latest annual assessment of significance; the direct supervision of these entities starts on 1 January 2017.

Entries marked with (‡) refer to supervised entities which are subsidiaries of a significant institution in another Member State and are also among the three largest credit institutions in the home Member State.

Entries marked with (\*) refer to supervised entities which, although meeting one of the criteria under the SSM Regulation and therefore qualifying as significant, have nevertheless been classified by the ECB as less significant because of particular circumstances in accordance with the SSM Framework Regulation.

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<sup>7</sup> See footnote 3 above.

<sup>8</sup> “Less significant supervised entity” means both (a) a less significant supervised entity in a euro area Member State; and (b) a less significant supervised entity in a non-euro area Member State that is a participating Member State.