



Fit and Proper Questionnaire

Supervised entities should use national forms, as required by the national competent authorities. These are available at the [Banking Supervision website](#).

Fit and Proper Questionnaire - ECB Model

The following Fit and Proper Questionnaire is an ECB document adopted by the Supervisory Board on 3 August 2016 [SB/X/16/833] as a model to be used by National Competent Authorities (NCAs). NCAs are asked to implement the questionnaire on a content-over-form basis by June 2017. The model questionnaire should not be used as part of the Fit and Proper application process; interested parties should instead consult the national questionnaires, links to which are provided through the link above. This model questionnaire should be read as a guide as to which information the ECB expects to receive when considering fit and proper applications.

The questionnaire should be read in conjunction with Article 91 of Directive 2013/36/EU (CRD IV)¹, the EBA Guidelines and applicable national law. The appointee and the supervised entity are jointly responsible for providing the NCA with complete and accurate information regarding the proposed appointment. If inaccurate or incomplete information is provided, this will lead to delays in the assessment or may make it impossible to take a positive decision. In addition to the specified information, both the appointee and the supervised entity have a responsibility to disclose to the NCA all matters that may be relevant to the assessment.

Please consult the relevant link to the Banking Supervision website as provided above in order to view the questionnaire as implemented in the relevant member state.

¹ Directive 2013/36/EU of the European Parliament and of the Council of 26 June 2013 on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms, amending Directive 2002/87/EC and repealing Directives 2006/48/EC and 2006/49/EC, OJ L 176, 27.6.2013, p. 338.

Declaration of the appointee

The undersigned

confirms that the information provided in this questionnaire is accurate and complete to the best of his/her knowledge;

confirms that s/he will notify the [name Supervised Entity] immediately if there is a material change* in the information provided;

authorises the [name NCA] to make such enquiries and seek such further information as it thinks appropriate to identify and verify information that it considers relevant to the fit and proper assessment, including authorisation from the following entities and individuals CLARIFY

- ...
- ...
- ...

confirms that s/he is aware of responsibilities arising from the European and national legislation and international standards, including regulations, codes of practice, guidance notes, guidelines and any other rules or directives issued by the ECB, by national competent authorities and by the European Banking Authority (EBA) [specify where necessary], which are of relevance to the function for which a positive assessment is sought, and also confirms the intention to ensure continued compliance with them.

Name:

Signature:

Date:

**A material change is a change that may affect the suitability of the appointee*

Declaration of supervised entity

The undersigned

- confirms that the information provided in this questionnaire is accurate and complete to the best of his/her knowledge;
- confirms that the supervised entity will notify the [name NCA] immediately if there is a material change in the information provided;
- confirms that the supervised entity has requested the full information necessary to assess the appointee's suitability and that it has given due consideration to that information in determining the appointee to be fit and proper;
- confirms that the description of the function for which a positive assessment is sought accurately reflects the aspects of the activities of the supervised entity which it is intended that the appointee will be responsible for;
- confirms that the supervised entity believes, on the basis of due and diligent enquiry and by reference to the fit and proper criteria as laid down in national and EU law, that the appointee is a fit and proper person to perform the function as described in this questionnaire;
- confirms that the supervised entity has made the appointee aware of the regulatory responsibilities associated with the function as described in this questionnaire;
- confirms that s/he has authority to make this notification/application and provide the declarations given by, and sign this questionnaire on behalf of, the supervised entity

Name of institution:

Name:

Position:

Signature:

Date:

1 Identification of supervised entity and appointee

a)	Institutional information	
	Identification of the requesting supervised entity (name/national identification number/LEI Code)	
	Contact person within the supervised entity (name/email/phone number)	
	Is the supervised entity CRD IV significant according to national legislation?	<input type="checkbox"/> YES <input type="checkbox"/> NO
b)	Personal information	
	Name	
	Title	
	Family name	
	First name	
	Middle name(s)	
	Variation of first name(s)	
	Previous Name	
	Title	
	Family name	
	First name	
	Middle name(s)	
	Date and reason for change	
	Current Residence	
	Address	
	City	
	Country	
	Start date of residence at this address:	

	Permanent residence (if different from the current residence)	
	Address	
	City	
	Country	
	Start date of residence at this address:	
	Other details	
	Date of birth	
	Place of birth	
	Nationality	
	Current valid ID/passport number	
	Country where ID/passport was issued	
	Date of current ID/passport expiry	
	Contact phone number (including country code)	
	Email address	

c) Previous regulatory approvals/non-approvals in the financial sector							
	Competent Authority involved	Institution involved	Function involved	Start date	End date	Date of assessment	Conclusion of the assessment (in the case of non-approval, provide an explanation in section d.)
d) Please explain the reasons for the non-approvals indicated above, if any:							

2 Function for which questionnaire is submitted

a)	Please state below the function which the appointee wishes to hold within the supervised entity (as far as known at the time of the submission).
	Please state the name of the position in the language of the Member State:
	<p>Please check all boxes which apply, where relevant with the exact name according to national law in the official language of the Member State.</p> <p><input type="checkbox"/> Non-executive director/Supervisory director</p> <p><input type="checkbox"/> Chairperson of the audit committee</p> <p><input type="checkbox"/> Chairperson of the remuneration committee</p> <p><input type="checkbox"/> Chairperson of the risk committee</p> <p><input type="checkbox"/> Chairperson of the nomination committee</p> <p><input type="checkbox"/> Chairperson of the Board of Directors/Supervisory Board</p> <p><input type="checkbox"/> Executive director/Managing director</p> <p><input type="checkbox"/> Chairperson of the Board of Directors/Management Body</p> <p><input type="checkbox"/> Chief Executive Officer</p> <p><input type="checkbox"/> Member of the management committee</p> <p><input type="checkbox"/> Key Function Holder (where applicable)</p> <p><input type="checkbox"/> Head of the compliance function</p> <p><input type="checkbox"/> Head of the internal audit function</p> <p><input type="checkbox"/> Head of the risk management function</p> <p><input type="checkbox"/> Other – Please provide details:</p>
b)	Please provide below as detailed a description as possible of the main duties, responsibilities and number of subordinates relating to the function in question. Please specify which other functions, if any, the person involved will exercise within the supervised entity.
	Please also specify on which sub-committees of the management body or other (management) committees the appointee will sit, if applicable.

c)	Please provide below additional details about the planned start date and the length of the term of office	
	(Planned) start date for the appointment	
	(Planned) term of office	
	Is the person to be appointed replacing somebody else?	<input type="checkbox"/> YES <input type="checkbox"/> NO
	If YES, who and why?	
	Is the application/notification being submitted on time in accordance with national rules?	<input type="checkbox"/> YES <input type="checkbox"/> NO
	If not, please state why	
d)	Nature of the arrangement between the appointee and the applicant	
	<input type="checkbox"/> Contract for services <input type="checkbox"/> Partner <input type="checkbox"/> Employee <input type="checkbox"/> Other – please explain:	

3 Reputation

a)	Do you² have any previous convictions in criminal proceedings or relevant (i.e. where there is an impact on the reputation or significant impact on the financial soundness of the appointee) civil or administrative proceedings (including convictions under appeal)?	<input type="checkbox"/> YES <input type="checkbox"/> NO
	If YES, please provide details below, in particular: nature of charge; length of time since the alleged wrongdoing; likely penalty if conviction ensues; appointee's conduct since offence; any professional insight shown by appointee; stage of proceedings; any other mitigating or aggravating factors	
b)	Do you have any pending criminal proceedings or relevant civil or administrative proceedings (including any formal notification of investigation or committal for trial)?	<input type="checkbox"/> YES <input type="checkbox"/> NO
	If YES, please provide details below, in particular: nature of charge; length of time since the alleged wrongdoing; likely penalty if conviction ensues; stage of proceedings; any other mitigating or aggravating factors	
c)	Do you have any previous disciplinary measures or pending disciplinary actions (including disqualification as a company director, discharge from a position of trust)?	<input type="checkbox"/> YES <input type="checkbox"/> NO
	If YES, please provide details below	
d)	Do you have any previous or pending bankruptcy, insolvency or similar procedures?	<input type="checkbox"/> YES <input type="checkbox"/> NO
	If YES, please provide details below (including whether the bankruptcy or insolvency was voluntary or not)	
e)	Have any of the proceedings referred to in section 4 been settled out of court or within the framework of alternative dispute resolution (e.g. mediation)?	<input type="checkbox"/> YES <input type="checkbox"/> NO
	If YES, please provide details below	

² "You" throughout section 4 should be understood as "you personally", but also includes any body corporate, partnership or unincorporated entity with which you are or have been associated as board member, controller, manager, or qualifying shareholder.

f)	Have you ever been included in a list of unreliable debtors or do you have a negative record on a list established by a recognised credit bureau or have you received an enforcement measure for any such debt?	<input type="checkbox"/> YES <input type="checkbox"/> NO
If YES, please provide details below		
g)	Have you ever been the subject of a refusal of registration, authorisation, membership or licence to carry out a trade, business or profession, or have you had such withdrawn, revoked or terminated?	<input type="checkbox"/> YES <input type="checkbox"/> NO
If YES, please provide details below		
h)	Have you ever been sanctioned by any public authorities or professional bodies or are you the subject of any pending investigations or past investigations or enforcement proceedings?	<input type="checkbox"/> YES <input type="checkbox"/> NO
If YES, please provide details below		
i)	Has the management body of the supervised entity engaged in any specific deliberations regarding any aspects of your reputation?	<input type="checkbox"/> YES <input type="checkbox"/> NO
If YES, please provide details on the content and outcome of this deliberation		

4 Experience

a)	Official Degree/Certificate	Field of study	Date	Educational organisation (university, centre of studies...)

b) Practical experience related to banking/financial field									
	Position	Main responsibilities	Organisation, company, etc.	Size	Number of subordinates	Areas covered	From	To	Reason for termination

c) Other relevant experience in senior management ³ position outside financial sector									
	Position	Main responsibilities	Organisation, company, etc.	Size	Number of subordinates	Spread of areas covered	From	To	Reason for termination

d) Other relevant experience outside financial sector (e.g. academic positions, legal services, IT, engineering, HR, political mandates, other non-commercial mandates)									
	Position	Main responsibilities	Organisation, company, etc.	Size	Number of subordinates	Spread of areas covered	From	To	Reason for termination

³ On the board or 1-2 levels below the board

e)	Please note when filling out the above fields that the following criteria are relevant to the level of experience and should be mentioned where necessary: nature of the management position held and its hierarchical level; nature and complexity of the business where the position was held, including its organisational structure; scope of competencies, decision-making powers and responsibilities, and number of subordinates. Additional information may be provided below if necessary.

f)	If the appointee does not have relevant experience, then please list below any potential compensating factors (e.g. size of entity; other compensating experience; degree/academic experience; proven ability to challenge; overall suitability; specialised knowledge; limited appointment in terms of the role of the appointment; other special cases)

g)	General banking experience as enumerated in EBA/GL/2012/06	Assessment (high, medium-high, medium-low, low)
	a) financial markets;	
	b) regulatory framework and requirements;	
	c) strategic planning, and understanding of a credit institution's business strategy or business plan and accomplishment thereof;	
	d) risk management (identifying, assessing, monitoring, controlling and mitigating the main types of risk of a credit institution, including the responsibilities of the member);	
	e) assessing the effectiveness of a credit institution's arrangements, creating effective governance, oversight and controls;	
	f) interpreting a credit institution's financial information, identifying key issues based on this information and appropriate controls and measures.	

h)	Other specialised experience (please enumerate)

i)	Prior to the assumption of the function or within the first year of the assumption of the function, will the appointee follow specific training?	<input type="checkbox"/> YES		
		<input type="checkbox"/> NO		
	If answer above is "yes", please provide details below			
	Content of training	Training provided by (internal or name of external organisation)	From	To

5 Conflicts of interest

a)	Do you⁴ have any personal relationship with: - other members of the management body and/or key function holders of the supervised entity, the parent undertaking or its subsidiaries? - a person that has a qualifying holding in the supervised entity, the parent undertaking or its subsidiaries?	<input type="checkbox"/> YES <input type="checkbox"/> NO
If YES, please provide details below		
b)	Do you conduct business (in private or through a company) with the supervised entity, the parent undertaking or its subsidiaries?	<input type="checkbox"/> YES <input type="checkbox"/> NO
If YES, please provide the following information: - a description of the type and content of the business and the obligations of both parties; - if relevant, the name of the company; - the relevant period of this relationship.		
c)	Are you currently involved in any legal proceedings against the supervised entity, the parent undertaking or its subsidiaries, either directly or indirectly?	<input type="checkbox"/> YES <input type="checkbox"/> NO
If YES, please provide information on the content and status of the legal proceedings and the entity involved		
d)	Do you have any professional⁵ or commercial relationship or have you had such relationship over the past 2 years with: - the supervised entity, the parent undertaking or its subsidiaries? - competitors of the supervised entity; the parent undertaking or its subsidiaries?	<input type="checkbox"/> YES <input type="checkbox"/> NO
If YES, please provide details below (where a commercial relationship exists, please provide information as to what (financial) value it represents to the business of the member or his/her close personal or business relationships)		

⁴ "You" throughout section 6 should be understood as "you personally", but also includes your close relatives (spouse, registered partner, cohabitee, child, parent or other relation with whom you share living accommodation) and any legal person of which you are or were a board member at the relevant time.

⁵ Such as holding management or senior position(s).

e)	<p>Do you, either personally or through a company you are closely connected with, have any substantial financial interest (such as ownership or investment) in the supervised entity, the parent undertaking or its subsidiaries, or in competitors or clients of the supervised entity, the parent undertaking or its subsidiaries? If yes, please provide the following information:</p> <ul style="list-style-type: none"> - name of the entity; - main activities of the entity; - relationship between the entity and the supervised entity; - relevant period of this relationship; - details of the financial interest. 				
	Name of the entity	Main activities of entity	Relationship between the entity and the supervised entity	Relevant period	Size of the financial interest (% of the capital and voting rights, or value of investment)
f)	Do you, in any way, represent a shareholder of the supervised entity, the parent undertaking or its subsidiaries?				<input type="checkbox"/> YES <input type="checkbox"/> NO
<p>If YES, please provide the following information:</p> <ol style="list-style-type: none"> 1. name of the shareholder; 2. % participation (in % of the capital and voting rights); 3. nature of the representation. 					
g)	Do you have any substantial financial obligation to the supervised entity, the parent undertaking or its subsidiaries (in principle, performing loans negotiated at arm's length under €100,000 and performing private mortgages negotiated at arm's length would generally not be considered as substantial)?				<input type="checkbox"/> YES <input type="checkbox"/> NO
<p>If YES, please provide the following information:</p> <ol style="list-style-type: none"> 1. the type of obligation; 2. the value of the obligation; 3. relevant period of this obligation. 					

h)	Do you have or have you had over the past 2 years a position with high political influence (either nationally or locally)?	<input type="checkbox"/> YES <input type="checkbox"/> NO
<p>If YES, please provide the following information:</p> <ol style="list-style-type: none"> 1. the nature of the position; 2. the specific powers related to or the obligations of this position; 3. the relationship between this position (or the entity where this position is held) and the supervised entity, the parent undertaking or its subsidiaries. 		
i)	Do you have any other relationships, positions or involvement that are not addressed in the questions above, which could adversely affect the interests of the supervised entity?	<input type="checkbox"/> YES <input type="checkbox"/> NO
<p>If YES, please provide all necessary information (e.g. nature, content, period and, if relevant, the relation to/relationship with the supervised entity, the parent undertaking or its subsidiaries)</p>		

To be completed by the supervised entity

j)	If any of the above apply, please indicate how a potential conflict of interest resulting from the appointee's statements above, whether or not it is considered material, is proposed to be mitigated? Please include relevant documentation if needed (e.g. bylaws, rules of procedure).	

6 Time commitment

a) What time commitment is required for the function involved?												
b) Has an additional non-executive directorship been authorised by a competent authority (Article 91(6) of CRD IV)? <input type="checkbox"/> YES <input type="checkbox"/> NO												
c) List of executive and non-executive directorships and other professional activities. Please list the directorship for which this form is being completed first and then all other directorships and other professional activities held by the appointee.												
	a. Entity (please mark listed companies with*)	b. Country	c. Description of the company's activity	d. Size of entity ⁶	e. Function within the entity: executive director/non-executive director/other	f. Privileged counting (Article 91(4) CRD IV) ⁷ or no counting ⁸	g. Additional responsibilities such as membership of committees, chair functions, etc.	h. Time commitment per week (hours) and per year (days) (to include additional responsibilities)	i. Term of mandate (as of – until)	j. Any additional comments	k. Number of meetings per year	l. Additional information

⁶ E.g. total assets year-end data for financial establishment, total turnover and international presence for other companies

⁷ Group/Institutional Protection Scheme/qualified holding

⁸ Not predominantly commercial objectives/representing the state

d)	Total number of executive directorships if privileged counting and exceptions (no counting) are applied	
e)	Total number of non-executive directorships if privileged counting and exceptions (no counting) are applied	
f)	If privileged counting applies please provide details of whether any synergies exist between the companies, such that there is a legitimate overlap in terms of time commitment within those companies	
	Total hours per week of time committed to all directorships outside the function for which submission is made	
h)	Total days per year of time committed to all directorships outside the function for which submission is made	

7 Collective suitability

To be completed by the supervised entity

a)	How is the appointee to be situated in the collective suitability of the supervised entity? Please explain why the (proposed) appointment complements the supervised entity's collective suitability and refer where relevant to the outcome of the most recent self-assessment of the collective suitability of the management body.
b)	Explain in general the weaknesses that have been identified in the overall composition of the management body
c)	How will the appointee help to solve some or all of the weaknesses referred to in your answer to the previous question?

8 Additional information and Annexes

a)	If there is any other information the appointee or the supervised entity considers to be relevant to the assessment, it must be included here.
b)	Please tick the boxes as proof of completeness of the attached information
	<ul style="list-style-type: none"><input type="checkbox"/> CV<input type="checkbox"/> Criminal record check<input type="checkbox"/> Excerpt from business register<input type="checkbox"/> Board minutes regarding the appointment<input type="checkbox"/> Copy of ID card/passport<input type="checkbox"/> Company bylaws<input type="checkbox"/> Suitability report<input type="checkbox"/> Organisational chart<input type="checkbox"/> Overview of the board composition<input type="checkbox"/> Other:



9 Privacy statement for the processing of personal data related to fit and proper assessments under European banking supervision

9.1 Data Protection legal framework applicable to the European Central Bank

The European Central Bank (ECB) adopts decisions regarding the suitability of the members of the management bodies of significant credit institutions following fit and proper assessments in accordance with Regulation (EU) No 1024/2013 (the SSM Regulation)¹.

In this context, the ECB collects and further processes personal data in line with EU data protection law.²

9.2 The European Central Bank as controller of processing personal data

The ECB is the controller of the data processing operations in the context of prudential supervision of significant institutions.³

The ECB and National Competent Authorities (NCAs) are joint controllers in the context of fit and proper assessments whenever they jointly determine the purposes and means of data processing.⁴ A specific arrangement will be concluded among the joint controllers that determines their responsibilities. The essence of the arrangement will be made public.

9.3 Purposes for processing personal data by the European Central Bank

Personal data is collected and processed for the purpose of assessing whether the persons responsible for the management of significant credit institutions meet the "fit and proper" requirements, i.e. whether they possess sufficient knowledge, skills and experience to fulfil their duties and are of sufficiently good repute.

¹ Council Regulation (EU) No 1024/2013 of 15 October 2013 conferring specific tasks on the European Central Bank concerning policies relating to the prudential supervision of credit institutions (OJ L 287, 29.10.2013, p. 63).

² Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC (OJ L 295, 21.11.2018, p. 39).

³ Within the meaning of point (8) of Article 3 of Regulation (EU) 2018/1725.

⁴ Article 28(1) of Regulation (EU) 2018/1725.

9.4 Lawfulness of the European Central Bank's data processing operations

The processing of personal data for the aforementioned purposes is necessary within the meaning of Article 5(1)(a) and (b) of Regulation (EU) 2018/1725, in conjunction with Article 127(6) of the Treaty of Functioning of the European Union, the SSM Regulation, Regulation (EU) No 468/2014 (the SSM Framework Regulation)⁵ and Directive 2013/36/EU (CRD IV)⁶.

In particular, the ECB must ensure compliance with the relevant Union law that imposes requirements on credit institutions, including the requirement to have in place robust governance arrangements, including the fit and proper requirements for the persons responsible for the management of credit institutions.⁷ For the purpose of carrying out its tasks, the ECB has the power to remove at any time members from the management body of credit institutions who do not fulfil the requirements set out in the acts of the relevant Union law.⁸

Moreover, Article 91(1) of CRD IV states that members of the management body shall at all times be of sufficiently good repute and possess sufficient knowledge, skills and experience to perform their duties.

Articles 93 and 94 of the SSM Framework Regulation set out the rules on the assessment by the ECB regarding the compliance with the fit and proper requirements for persons responsible for managing credit institutions. In order to ensure that fit and proper requirements are met at all times, the ECB may initiate a new assessment based on new facts or issues or if the ECB becomes aware of any new facts that may have an impact on the initial assessment of the relevant member of the management body.

⁵ Regulation (EU) No 468/2014 of the European Central Bank of 16 April 2014 establishing the framework for cooperation within the Single Supervisory Mechanism between the European Central Bank and national competent authorities and with national designated authorities (SSM Framework Regulation) (ECB/2014/17) (OJ L 141, 14.5.2014, p. 1).

⁶ Directive 2013/36/EU of the European Parliament and of the Council of 26 June 2013 on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms, amending Directive 2002/87/EC and repealing Directives 2006/48/EC and 2006/49/EC (OJ L 176, 27.6.2013, p. 338).

⁷ Article 4(1)(e) of the SSM Regulation.

⁸ Article 16(2)(m) of the SSM Regulation.

9.5 Categories of personal data processed by the European Central Bank

The following personal data is processed in relation to fit and proper assessments.

- (a) Personal data provided by the applicants (in written form⁹ or during interviews) which relate to:
- **personal details**, such as full name, ID/passport number, nationality;
 - **contact details**, such as address, email, phone number;
 - **knowledge, skills and experience**, such as information regarding practical, professional experience gained in previous occupations and theoretical experience (knowledge and skills) gained through education and training;
 - **reputation**, such as criminal record;
 - **conflicts of interest**, such as any close personal relationship with a member of a management body, any significant private business transactions with the supervised entity, positions of significant political influence, etc.;
 - **time commitment**, such as other professional or personal commitments or circumstances (e.g. involvement in a court case);
 - **collective suitability of the board**, such as the added value of a particular candidate in relation to the overall composition of the board.
- (b) Personal data that has come to the knowledge of the competent authority by other means (e.g. via the media).
- (c) Personal data that is not related to the applicant but to third parties.
- (d) Any comments by the ECB and/or NCA staff members regarding the performance of the applicant during the fit and proper procedure (e.g. comments that reflect the opinion or the assessment of the examiner on the individual performance of the applicant, particularly in relation to their knowledge and competences in the relevant field).

9.6 Access to personal data collected and processed by the European Central Bank

For the purposes set out in Section 3, access to personal data is given to the following persons:

- staff of the NCAs;
- ECB staff of Joint Supervisory Teams (ECB Directorate General Microprudential Supervision I or II);

⁹ See the [Fit and Proper Questionnaire](#).

- dedicated staff members of the ECB Directorate General Microprudential Supervision III, Directorate General Secretariat to the Supervisory Board and the Authorisation Division of the Directorate General Secretariat to the Supervisory Board;
- members of the Supervisory Board and of the Governing Council of the ECB;
- other dedicated ECB staff members providing opinions and advice in the context of fit and proper assessments, such as the staff of Directorate General Legal Services;
- external experts and contractors working on behalf of the ECB who provide opinions and advice in the context of fit and proper assessments, such as external legal counsel;
- a limited number of staff members of other Union institutions, bodies, agencies, supervisory authorities and national authorities (e.g. criminal prosecutors, Anti-Money Laundering authorities).

9.7 Transfers of personal data to third countries

In the context of supervisory cooperation with authorities outside the European Economic Area (EEA), your personal data may be transferred outside the EEA upon request of a third country authority. In the absence of an adequacy decision, personal data may be transferred outside the EEA only if appropriate safeguards are in place, as set out in Article 48 of Regulation (EU) 2018/1725. In exceptional cases, international transfers of personal data may also take place based on the derogation provided for by Article 50 of Regulation (EU) 2018/1725.

9.8 Retention period

Personal data are stored as follows:

- for fifteen years from the date of application or notification if the application is withdrawn before a formal decision is reached;
- for fifteen years from the date of a negative decision;
- for fifteen years from the date the data subjects cease to be members of the management bodies of the supervised entity in the case of a positive ECB decision;
- For fifteen years from the date of the most recent ECB decision in case of reassessment based on new facts.

In the event that administrative or judicial proceedings are initiated, the retention period is extended and ends one year after such proceedings are concluded by a final decision.

9.9 Your rights as a data subject

You have the right to access your personal data and correct any data that is inaccurate or incomplete. You also have (with some limitations) the right to delete your personal data and to restrict or object to the processing of your personal data in line with Regulation (EU) 2018/1725.

9.10 Contact information in case of queries and requests

You can exercise your rights by emailing the ECB's Authorisation Division at Authorisation@ecb.europa.eu.

For all queries relating to personal data, please contact the ECB's Data Protection Officer at dpo@ecb.europa.eu.

9.11 Addressing the European Data Protection Supervisor

If you consider that your rights under Regulation (EU) 2018/1725 have been infringed as a result of the processing of your personal data, you have the right to lodge a complaint with the [European Data Protection Supervisor](#) at any time.

9.12 Changes to this Privacy Statement

This Privacy Statement may be changed to take into account new legal developments.

Revision date: 15.05.2019